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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/756,579	01/08/2001	John L. Reid	INTL-0463-US (P9817)	5624

7590 05/26/2005

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EXAMINER

BULLOCK JR, LEWIS ALEXANDER

ART UNIT	PAPER NUMBER
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2195

DATE MAILED: 05/26/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/756,579

Applicant(s)

REID, JOHN L.

Examiner

Lewis A. Bullock, Jr.

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 28 April 2005.
2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
4a) Of the above claim(s) _____ is/are withdrawn from consideration.
5) ☐ Claim(s) _____ is/are allowed.
6) ☒ Claim(s) 1-20 is/are rejected.
7) ☐ Claim(s) _____ is/are objected to.
8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
10) ☒ The drawing(s) filed on 08 January 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.
4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____.
5) ☐ Notice of Informal Patent Application (PTO-152)
6) ☐ Other: _____.

DETAILED ACTION

1. Applicant's arguments, see response, filed 4/28/05, with respect to the rejection(s) of claim(s) 1-20 under final have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of a more detailed publication, "Application Isolation in the Java Virtual Machine", made by Czajkowski that more clearly details the teachings of the claims, in particular that there exists a shared table storing a copy of static variables for a plurality of applications that is accessible by the plurality of applications to invoke the shared class. **Note that the inventor that produced the patent in the previous rejection is the author of the publication.**

Claim Rejections - 35 USC § 101

2. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-8 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Claims 1-8 detail a method for sharing a class between applications. However, the cited claims do not detail a tangible structure performing the method for sharing a class. Therefore, as stated in the M.P.E.P. 2106, the cited method claims are non-statutory for being related to a software structure, thereby non-tangible. Claims directed to a method that can be envisioned, as software instructions written on a piece of paper are not statutory and are considered to be an abstract idea.

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over "Application Isolation in the Java Virtual Machine" by CZAJKOWSKI.

As to claim 1, CZAJKOWSKI teaches a method comprising: running at least two applications (applications); enabling the applications to share a class (class having static variables); duplicating member data (static fields of the class) for the class for each application (application) in a shared memory (table shared between the applications that contains the copies of Counter\$sFields); and providing an identifier (application id) to each application (application) to enable each application to access its member data (copy of static fields) in the shared memory (shared table) (pg. 356, "Consider a class X, containing static fields...X\$aMethods maintains an instance of X\$sFields for each application; the methods of X\$aMethods access the correct instance of X\$sFields based on the application id extracted from the current thread...The second generated class is Counter\$aMethods. It contains a table mapping application identifiers onto per-application copies of Counter\$sFields... Each of them looks up the copy of Counter\$sFields corresponding to the current application and then accesses the named field. If the lookup does not succeed it means that this application's copy of

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Counter\$sFields has not been generated yet and that the appropriate initialization has to be taken care of.”; pg 363, “The runtime modifications operate as follows. At load time, each class is examined and each static field is replicated n times (n is the maximum allowed number of applications... Fetching an application id and using it to index an array of copies of a static field translates into less than ten machine instructions... When an application gets loaded into the modified KVM, it is assigned an application id or is rejected if no more application slots are available at the moment. Whenever a class is used by this application for the first time, the static initializer is run (when present), initializing the correct replicas of static fields.”). However, CZAJKOWSKI does not explicitly teach that the identifier is a handle. It is well known in the art that a handle is any token that a program can use to identify and access an object such as a device, a file, a window, or a dialog box. CZAJKOWSKI teaches the application id is used to access the correct static fields as disclosed above. Therefore it would be obvious to one of ordinary skill in the art at the time of the invention that the identifier is a handle.

As to claim 2, CZAJKOWSKI teaches enabling each application to use a shared memory (via a table shared by the applications to access the correct Counter\$sField copy) (pg. 356, “Consider a class X, containing static fields... X\$aMethods maintains an instance of X\$sFields for each application; the methods of X\$aMethods access the correct instance of X\$sFields based on the application id extracted from the current thread... The second generated class is Counter\$aMethods. It contains a table mapping application identifiers onto per-application copies of Counter\$sFields... Each of them

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looks up the copy of Counter\$\$Fields corresponding to the current application and then accesses the named field. If the lookup does not succeed it means that this application's copy of Counter\$\$Fields has not been generated yet and that the appropriate initialization has to be taken care of."; pg 363, "The runtime modifications operate as follows. At load time, each class is examined and each static field is replicated n times (n is the maximum allowed number of applications... Fetching an application id and using it to index an array of copies of a static field translates into less than ten machine instructions... When an application gets loaded into the modified KVM, it is assigned an application id or is rejected if no more application slots are available at the moment. Whenever a class is used by this application for the first time, the static initializer is run (when present), initializing the correct replicas of static fields.").

As to claim 3, CZAJKOWSKI teaches enabling each application (application) to define an address space of the shared memory (part of the table that stores the applications static field data) specific to each application (via the initialization of the static field copy for each application such that the correct copy is determined based on the applications identifier) (pg. 356, "Consider a class X, containing static fields... X\$aMethods maintains an instance of X\$\$Fields for each application; the methods of X\$aMethods access the correct instance of X\$\$Fields based on the application id extracted from the current thread... The second generated class is Counter\$aMethods. It contains a table mapping application identifiers onto per-application copies of Counter\$\$Fields... Each of them looks up the copy of

Counter\$sFields corresponding to the current application and then accesses the named field. If the lookup does not succeed it means that this application's copy of Counter\$sFields has not been generated yet and that the appropriate initialization has to be taken care of."; pg 363, "The runtime modifications operate as follows. At load time, each class is examined and each static field is replicated n times (n is the maximum allowed number of applications... Fetching an application id and using it to index an array of copies of a static field translates into less than ten machine instructions... When an application gets loaded into the modified KVM, it is assigned an application id or is rejected if no more application slots are available at the moment. Whenever a class is used by this application for the first time, the static initializer is run (when present), initializing the correct replicas of static fields.").

As to claim 4, CZAJKOWSKI teaches duplicating (copy) process specific data (static fields) for each application (application) (pg. 356, "Consider a class X, containing static fields... X\$aMethods maintains an instance of X\$sFields for each application; the methods of X\$aMethods access the correct instance of X\$sFields based on the application id extracted from the current thread... The second generated class is Counter\$aMethods. It contains a table mapping application identifiers onto per-application copies of Counter\$sFields... Each of them looks up the copy of Counter\$sFields corresponding to the current application and then accesses the named field. If the lookup does not succeed it means that this application's copy of Counter\$sFields has not been generated yet and that the appropriate initialization has to

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be taken care of.”; pg 363, “The runtime modifications operate as follows. At load time, each class is examined and each static field is replicated n times (n is the maximum allowed number of applications... Fetching an application id and using it to index an array of copies of a static field translates into less than ten machine instructions... When an application gets loaded into the modified KVM, it is assigned an application id or is rejected if no more application slots are available at the moment. Whenever a class is used by this application for the first time, the static initializer is run (when present), initializing the correct replicas of static fields.”).

As to claim 5, CZAJKOWSKI teaches automatically (during run-time) duplicating (copy) process specific data (static fields) in address space specific to each application ((pg. 356, “Consider a class X, containing static fields... X\$aMethods maintains an instance of X\$sFields for each application; the methods of X\$aMethods access the correct instance of X\$sFields based on the application id extracted from the current thread... The second generated class is Counter\$aMethods. It contains a table mapping application identifiers onto per-application copies of Counter\$sFields... Each of them looks up the copy of Counter\$sFields corresponding to the current application and then accesses the named field. If the lookup does not succeed it means that this application’s copy of Counter\$sFields has not been generated yet and that the appropriate initialization has to be taken care of.”; pg 363, “The runtime modifications operate as follows. At load time, each class is examined and each static field is replicated n times (n is the maximum allowed number of applications... Fetching an

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application id and using it to index an array of copies of a static field translates into less than ten machine instructions...When an application gets loaded into the modified KVM, it is assigned an application id or is rejected if no more application slots are available at the moment. Whenever a class is used by this application for the first time, the static initializer is run (when present), initializing the correct replicas of static fields.”).

As to claim 6, CZAJKOWSKI teaches defining a shared class (Counter\$aMethods) and using the share class to execute an instance of a class (Counter\$sFields) to share (pg. 356, “Consider a class X, containing static fields...X\$aMethods maintains an instance of X\$sFields for each application; the methods of X\$aMethods access the correct instance of X\$sFields based on the application id extracted from the current thread...The second generated class is Counter\$aMethods. It contains a table mapping application identifiers onto per-application copies of Counter\$sFields...Each of them looks up the copy of Counter\$sFields corresponding to the current application and then accesses the named field. If the lookup does not succeed it means that this application’s copy of Counter\$sFields has not been generated yet and that the appropriate initialization has to be taken care of.”; pg 363, “The runtime modifications operate as follows. At load time, each class is examined and each static field is replicated n times (n is the maximum allowed number of applications... Fetching an application id and using it to index an array of copies of a static field translates into less than ten machine instructions...When an application gets loaded into the modified KVM, it is assigned an application id or is

rejected if no more application slots are available at the moment. Whenever a class is used by this application for the first time, the static initializer is run (when present), initializing the correct replicas of static fields.”).

As to claim 7, CZAJKOWSKI teaches invoking a shareable interface (initializer function / Counter\$aMethods functions) to obtain a handle (application id) (pg. 356, “The original class Counter, undergoes the following modifications. All static fields are removed from Counter. A new method, hidden\$initializer(), is added. It contains a modified code of the static initializer of Counter. It is invoked whenever a new application uses static fields of Counter for the first time.”; pg. 356, “In our particular case there is only one static field and thus Counter\$aMethods has two such access methods: put\$cnt() and get\$cnt(). Each of them looks up the copy of Counter\$sFields corresponding to the current application and then accesses the named field. If the lookup does not succeed it means that this application’s copy of Counter\$sFields has not been generated yet and that the appropriate initialization has to be taken care of.”; see also Figure 2, program code wherein `int id – Thread.currentAppld()`, wherein the identifier is retrieved from the application thread).

As to claim 8, CZAJKOWSKI teaches specifying the handle (application id) on each method call to resolve the context (static fields) of the handle (application id) (wherein the application id is used to retrieve the correct static fields for the shared class) (pg. 356, “Consider a class X, containing static fields...X\$aMethods maintains an

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instance of X\$sFields for each application; the methods of X\$aMethods access the correct instance of X\$sFields based on the application id extracted from the current thread... The second generated class is Counter\$aMethods. It contains a table mapping application identifiers onto per-application copies of Counter\$sFields... Each of them looks up the copy of Counter\$sFields corresponding to the current application and then accesses the named field. If the lookup does not succeed it means that this application's copy of Counter\$sFields has not been generated yet and that the appropriate initialization has to be taken care of."; pg 363, "The runtime modifications operate as follows. At load time, each class is examined and each static field is replicated n times (n is the maximum allowed number of applications... Fetching an application id and using it to index an array of copies of a static field translates into less than ten machine instructions... When an application gets loaded into the modified KVM, it is assigned an application id or is rejected if no more application slots are available at the moment. Whenever a class is used by this application for the first time, the static initializer is run (when present), initializing the correct replicas of static fields.").

As to claims 9-16, reference is made to an article comprising a medium that corresponds to the method of claims 1-8 and is therefore met by the rejection of claims 1-8 above. Claim 9 further details a processor-based system. CZAJKOWSKI teaches that the teachings are used on a PALM device that has a power of 2.7 MIPS at 16.6MHz processor clock and a heap (pg. 362). Therefore, it would be obvious that the teachings are executed on a processor-based system (PALM device).

As to claims 17-20, reference is made to a system that corresponds to the method of claims 1-4 and is therefore met by the rejection of claims 1-4 above. Claim 17 further details the system having a processor and storage. CZAJKOWSKI teaches that the teachings are used on a PALM device that has a power of 2.7 MIPS at 16.6MHz processor clock and a heap (pg. 362). Therefore, it would be obvious that the teachings are executed on a processor-based system (PALM device).

Response to Arguments

5. Applicant's arguments with respect to claims 1-20 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lewis A. Bullock, Jr. whose telephone number is (571) 272-3759. The examiner can normally be reached on Monday-Friday, 8:30 - 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Meng An can be reached on (571) 272-3756. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

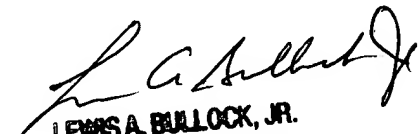
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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

May 19, 2005

Attachment:

Microsoft Computer Dictionary of "handle".



LEWIS A. BULLOCK, JR.
PRIMARY EXAMINER